

US007162521B2

(12) United States Patent Ewing et al.

(54) REMOTE POWER CONTROL SYSTEM

(75) Inventors: Carrel W. Ewing, Reno, NV (US);

Andrew J. Cleveland, Reno, NV (US)

(73) Assignee: Server Technology, Inc., Reno, NV

(US)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

0.5.c. 15 ((b) by 6 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 10/806,130

(22) Filed: Mar. 23, 2004

(65) Prior Publication Data

US 2004/0215763 A1 Oct. 28, 2004

Related U.S. Application Data

- (60) Continuation of application No. 10/758,117, filed on Jan. 16, 2004, now Pat. No. 7,010,589, which is a division of application No. 09/375,471, filed on Aug. 16, 1999, now Pat. No. 6,711,613, which is a continuation-in-part of application No. 08/685,436, filed on Jul. 23, 1996, now Pat. No. 5,949,974.
- (51) **Int. Cl. G06F 15/173** (2006.01) **H02B 1/00** (2006.01) **G06F 1/26** (2006.01)

See application file for complete search history.

(56) References Cited

U.S. PATENT DOCUMENTS

5,424,903 A 6/1995 Schreiber

(10) Patent No.: US 7,162,521 B2

(45) **Date of Patent:**

*Jan. 9, 2007

5,506,573	A *	4/1996	Ewing et al.	 340/644
5,506,790	A	4/1996	Nguyen	
5,537,462	A	7/1996	Utter et al.	
5,717,934	A	2/1998	Pitt et al.	
5,721,934	A	2/1998	Scheurich	

(Continued)

OTHER PUBLICATIONS

Western Telematic, Inc., "RMM Rack Mount Data/Fax Modem, WTI Part No. 12548 Rev. F, User's Guide," 15 pages, marked © 1998 and Sep. 1998.

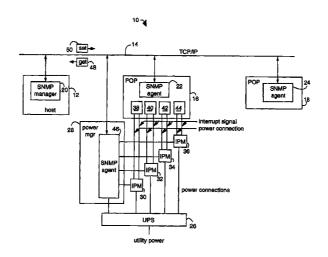
(Continued)

Primary Examiner—John Follansbee Assistant Examiner—Ashok B. Patel (74) Attorney, Agent, or Firm—Klarquist Sparkman, LLP

(57) ABSTRACT

An SNMP network comprises a power manager with an SNMP agent in TCP/IP communication over a network with an SNMP network manager. The power manager is connected to control several intelligent power modules each able to independently control the power on/off status of several network appliances. Power-on and load sensors within each intelligent power module are able to report the power status of each network appliance to the SNMP network manager with MIB variables in response to GET commands. Each intelligent power module is equipped with an output that is connected to cause an interrupt signal to the network appliance being controlled. The SNMP network manager is able to test which network appliance is actually responding before any cycling of the power to the corresponding appliance is tried.

33 Claims, 4 Drawing Sheets



U.S. PATENT DOCUMENTS

6,029,092	A	2/2000	Stein
6,408,395	B1	6/2002	Sugahara et al.
6,496,103	B1	12/2002	Weiss et al.
6,507,273	B1	1/2003	Chang et al.
6,519,509	B1	2/2003	Nierlich et al.
6,715,088	B1	3/2004	Togawa
2004/0047095	A1*	3/2004	Reynolds et al 361/62

OTHER PUBLICATIONS

MIRAPATH, A Cyclades Premier Partner, "AlterPath PM User Guide," 49 pages, marked © 2003 and Jun. 2003.

Western Telematic, Inc., "NPS Series Network Power Switch Models NPS-115 & NPS-230, WTI Part No. 12927 Rev. C, User's Guide," 19 pages, marked © 1999 and Jul. 1999.

Server Technology, Inc., Versa Timer Operations Manual, Thank you for purchasing the Versa Timer, 3 pages.

Server Technology, Inc., VersaTimer, A 7-Day, Programmable Power Scheduler, 1994, 2 pages.

Server Technology, Inc., Intel2 Power Module—12v schematic, Mar. 8, 1999, 1 page.

Server Technology, Inc., "VersaTimer Operations Manual, Thank you for purchasing the VersaTimer," 3 pages, marked © 1995.

Server Technology, Inc., "VersaTimer, A 7-Day, Programmable Power Scheduler," 2 pages, marked © 1994.

* cited by examiner

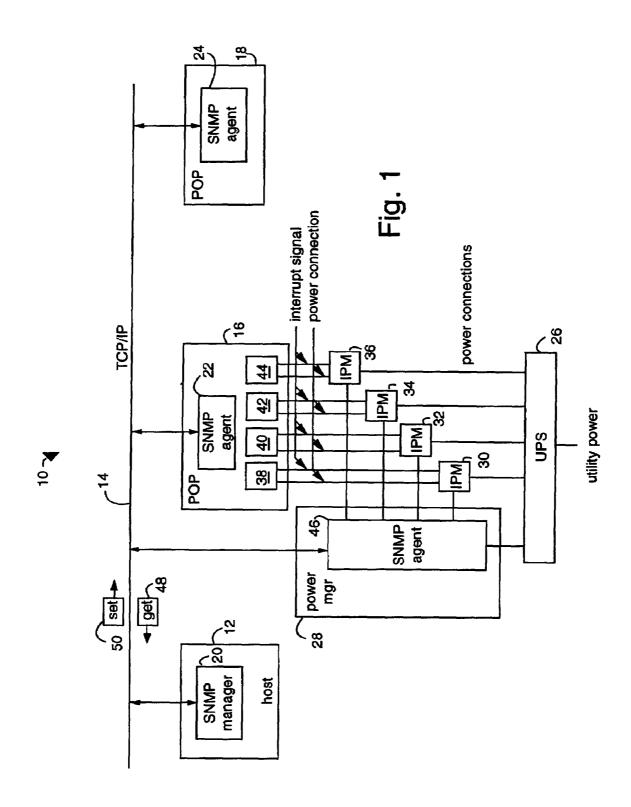
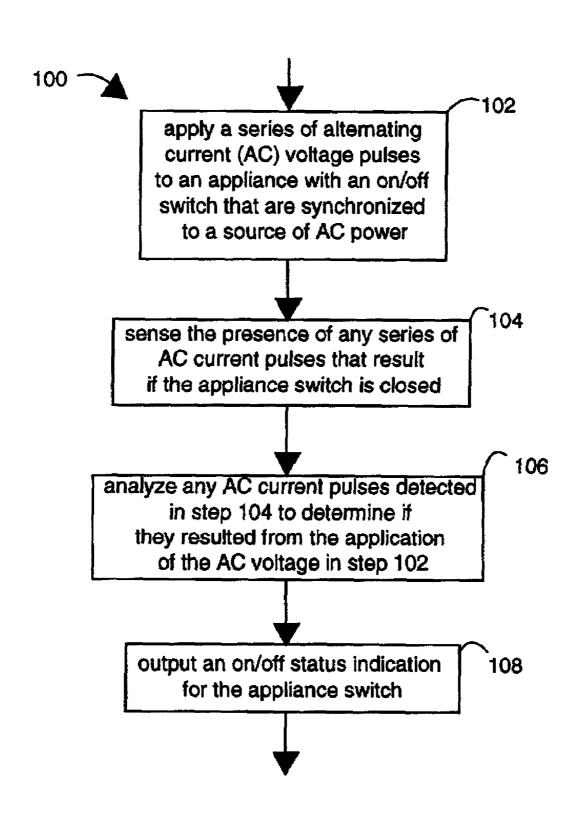
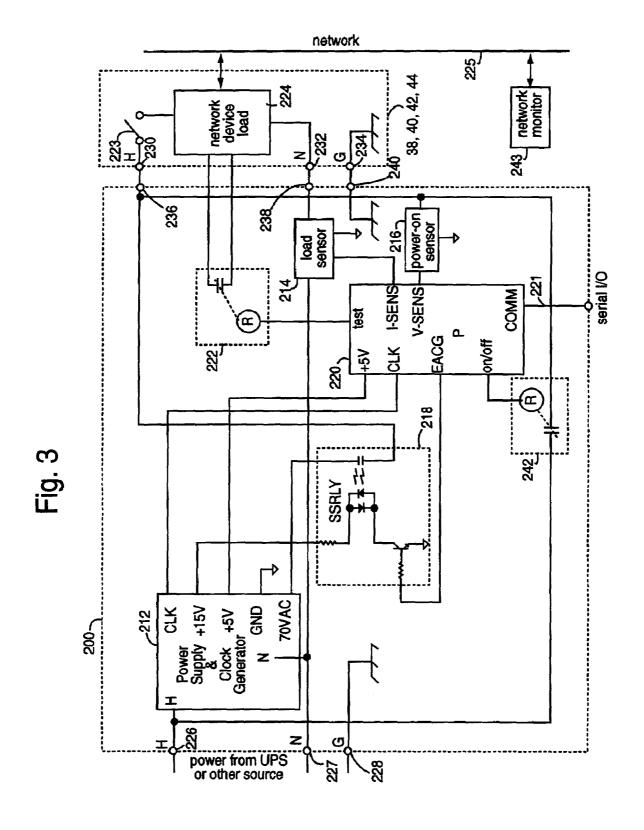
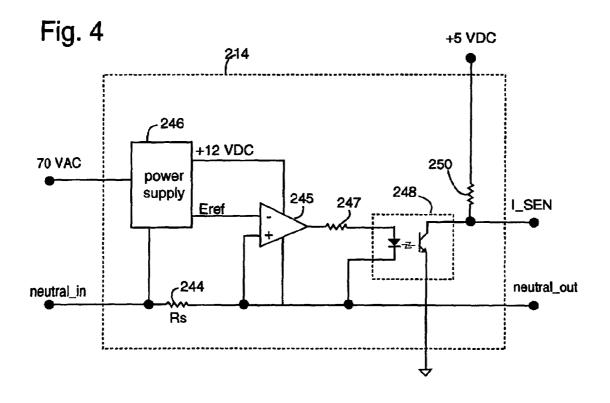


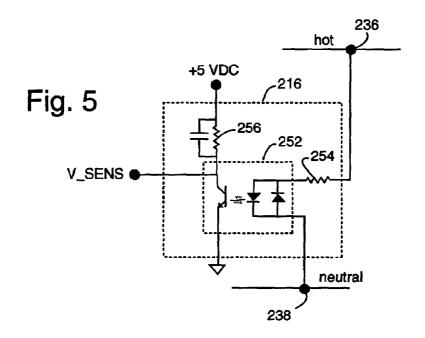
Fig. 2



Jan. 9, 2007







REMOTE POWER CONTROL SYSTEM

CO-PENDING APPLICATIONS

This is a Continuation application of U.S. patent application Ser. No. 10/758,117 filed Jan. 16, 2004 now U.S. Pat. No. 7,010,589 which is a Divisional of U.S. patent application Ser. No. 09/375,471 filed Aug. 16, 1999 now U.S. Pat. No. 6,711,613 which is a Continuation-In-Part of U.S. patent application Ser. No. 08/685,436 filed Jul. 23, 1996, now U.S. 10 Pat. No. 5,949,974 the contents of which are hereby incorporated by reference in its entirety.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The invention relates generally to automatic power control and more particularly to remote control methods and devices to maintain computer network system availability.

2. Description of the Prior Art

Enterprise networks exist to support large world-wide organizations and depend on a combination of technologies, e.g., data communications, inter-networking equipment (frame relay controllers, asynchronous transfer mode (ATM) switches, routers, integrated services digital network (ISDN) 25 controllers, application servers), and network management application software. Such enterprise networks can be used to support a large company's branch offices throughout the world, and, as such, these networks have become mission critical to the functioning of such organizations. Masses of 30 information are routinely expected to be exchanged, and such information exchanges are necessary to carry on the daily business of modern organizations. For example, some international banks have thousands of branch offices placed throughout Europe, Asia and the United States that each 35 critically depend on their ability to communicate banking transactions quickly and efficiently with one another and headquarters.

A typical enterprise network uses building blocks of router and frame relay network appliances mounted in 40 equipment racks. Such equipment racks are distributed to remote point of presence (POP) locations in the particular network. Each equipment rack can include frame relay controllers, routers, ISDN controllers, servers and modems, etc., each of which are connected to one or more power 45 sources. The value of POP equipment can range from \$200,000 to \$500,000, and the number of individual devices can exceed a thousand.

Many enterprises rely on an uninterruptable power supply (UPS) to keep their network appliances operational. Many 50 network appliances are typically connected to a single UPS, and this sets up a problem. When an individual router locks up, the router's power cannot be individually cycled on and off externally at the UPS because it is connected to a multiple power outlet. The recovery action choices available 55 to the network control center operator thus do not include being able to reinitialize the individual equipment through a power interruption reset. The network operator could command the UPS to power cycle, but that would reset all the other attached devices that were ostensibly operating normally and carrying other network traffic. Another option is to dispatch someone to the remote location to reset the locked-up device. Neither choice is an attractive solution.

In large organizations that have come to depend heavily on enterprise networks, great pressures develop to control 65 costs and thus to improve profits. Organizational downsizing has been used throughout the corporate world to 2

reduce non-network costs, and that usually translates to fewer technical people available in the right places to support large and complex in-house global networks. Such reduced repair staffs now rely on a combination of centralized network management tools and third-party maintenance organizations to service their remote POP sites. The costs associated with dispatching third-party maintenance technicians is very high, and the dispatch and travel delay times can humble the business operations over a wide area for what seems an eternity.

Global communication network operators, located at a few centralized network management centers, are relying more and more on automated network management applications to analyze, process, display and support their networks. An increasing number of network management software applications are being marketed that use open-system standardized protocols. Particular network application tool software is available to report lists of the network appliances, by location, and can issue trouble lists and keep track of software versions and releases. New simple network management protocol (SNMP) applications are conventionally used to issue alarms to central management consoles when remote network appliances fail.

One such SNMP network management application is marketed by Hewlett-Packard. HP OPENVIEW is a family of network and system management tools and services for local and wide area multivendor networks. OPENVIEW is a management platform that provides application developers and users with the ability to manage multivendor networks and expand their distributed computing environments. OPENVIEW allows network operation centers to build an intelligent hierarchical network management application, and uses open standards such as SNMP, user datagram protocol (UDP), and the now ubiquitous transmission control protocol/internet protocol (TCP/IP). Because OPEN-VIEW is built on open system standards, global communication network operators can easily integrate the various inter-networking equipment nodes into a managed environment operated by strategically located network consoles.

In order to provide a reliable computing environment, a robust and active process for problem resolution must be in place. OPENVIEW allows the definition of thresholds and monitoring intervals, and the interception of network, system, database, and application-messages and alerts. Once a threshold value is exceeded, intelligent agents can run a pre-defined automatic action and/or generate and send a message to alert an operator on a central management console. Messages can also be forwarded to a pager or trouble-ticketing application. To help focus on the most critical problems, a message browser win dow is used to display six severity levels for incoming problems and events, e.g., ranging from stable to critical. An integrated history database is provided for auditing and analyzing system and network activities, for identifying trends and for anticipating problems before they occur. Activity displays and reports can be customized by the users.

Prior art SNMP network management uses embedded microprocessors in almost every network appliance to support two-way inter-computer communications with TCP/IP, of which SNMP is a member of the TCP/IP protocol suite. SNMP is conventionally used to send messages between management client nodes and agent nodes. Management information blocks (MIBs) are used for statistic counters, port status, and other information about routers and other network devices. GET and SET commands are issued from management consoles and operate on particular MIB variables for the equipment nodes. Such commands allow net-

work management functions to be carried out between client equipment nodes and management agent nodes.

SNMP is an application protocol for network management services in the internet protocol suite. SNMP has been adopted by numerous network equipment vendors as their 5 main or secondary management interface. SNMP defines a client/server relationship, wherein the client program, a "network manager", makes virtual connections to a server program, an "SNMP agent", on a remote network device. The data base controlled by the SNMP agent is the SNMP 10 management information base, and is a standard set of statistical and control values. SNMP and private MIBs allow the extension of standard values with values specific to a particular agent. Directives issued by the network manager client to an SNMP agent comprise SNMP variable identifi- 15 ers, e.g., MIB object identifiers or MIB variables, and instructions to either GET the value for the identifier, or SET the identifier to a new value. Thus private MIB variables allow SNMP agents to be customized for specific devices, e.g., network bridges, gateways, and routers. The definitions 20 of MIB variables being supported by particular agents are located in descriptor files, typically written in abstract syntax notation (ASN.1) format. The definitions are available to network management client programs.

SNMP enjoys widespread popularity, and SNMP agents 25 are available for network devices including computers, bridges, modems, and printers. Such universal support promotes interoperability. The SNMP management protocol is flexible and extensible, SNMP agents can incorporate device specific data. Mechanisms such as ASN.1 files allow the 30 upgrading of network management client programs to interface with special agent capabilities. Thus SNMP can take on numerous jobs specific to device lasses such as printers, routers, and bridges. A standard mechanism of network control and monitoring is thus possible.

Unfortunately, SNMP is a complicated protocol to implement, due to complex encoding rules, and it is not a particularly efficient protocol. Bandwidth is often wasted with needless information, such as the SNMP version that is to be transmitted in every SNMP message, and multiple 40 length and data descriptors scattered throughout each message. SNMP variables are identified as byte strings, where each byte corresponds to a particular node in the MIB database. Such identification leads to needlessly large data handles that can consume substantial parts of each SNMP 45 message.

Most vendors implement network managers thinking a user's primary interest is in the data associated with particular network devices. But such data is easily acquired by other means, e.g., "netstat" and "rsh" UNIX programs. The 50 important information about the network includes the differences between devices, besides their current states. SNMP affords a good mechanism for rapidly processing such differences on large networks, since SNMP avoids the processing burden of remote login and execution.

Network management applications can thus monitor the health of every part of a global communications network and can be set to communicate alarms to a central management console. Current network management applications do an adequate job of informing central management consoles 60 about the health of various nodes in the network and the alarms they issue when a node is failing are useful.

Conventional SNMP network management technologies do not provide sufficient information related to the nodes' electrical power status. A new technology is needed that can 65 be simply and inexpensively added to client equipment nodes for SNMP reporting of the electrical power status of

4

the node. For example, in a router based network with SNMP support, prior art individual routers can use SNMP to issue an alarm to the management console. But the console operator would know only that the router is failing. A GET command can be issued to the router node to determine if the counter and buffer threshold limits were exceeded and caused a router to lock-up. However, the console operator does not have any information about the electrical power status to the router, e.g., has the router power switch been moved to the OFF position or has the switch been accidentally turned OFF? The electrical power source could have failed, the power cable connection become loose, or a technician may have accidentally removed the router from a rack.

SUMMARY OF THE PRESENT INVENTION

It is therefore an object of the present invention to provide a system and method for providing power supply status and control in network nodes at geographically distant locations.

It is another object of the present invention to provide a system and method for describing power supply status and control in SNMP MIB variables between network nodes and a central network management console.

It is a further object of the present invention to provide a verification of which particular network appliance will be subjected to a power-up or power-down command before the operator must commit to such commands.

Briefly, an SNMP network embodiment of the present invention comprises a power manager with an SNMP agent in TCP/IP communication over a network with an SNMP network manager. The power manager is connected to control several intelligent power modules each able to independently control the power on/off status of several network appliances in an equipment rack at a common remote node, e.g., a point-of-presence site. Power-on and load sensors within each intelligent power module are able to report the power status of each network appliance to the SNMP network manager with MIB variables in response to GET commands. Each intelligent power module is equipped with an output that is connected to cause an interrupt signal to the network appliance being controlled. The SNMP network manager is able to test which network appliance is actually responding before any cycling of the power to the corresponding appliance is tried.

An advantage of the present invention is that a system and method are provided that can help an operator avoid the mistake of turning on or off the wrong network appliance in a busy equipment rack at a remote site.

Another advantage of the present invention is that a system and method are provided for describing power supply status and control in SNMP MIB variables between network nodes and a central network management console.

A further advantage of the present invention is that a system and method are provided that allows a network console operator to investigate the functionality of the electrical power status when a router or other network device has been detected as failing.

A still further advantage of the present invention is that a system and method are provided for reducing the need for enterprise network operators to dispatch third party maintenance vendors to remote equipment rooms and POP locations simply to power-cycle failed network appliances. The costs to dispatch such third party maintenance vendor can run from \$300–\$600 per call. The cost of implementing the present invention can be recaptured in less than one year,

e.g., by reducing the number of third party maintenance dispatches to remote locations.

Another advantage of the present invention is that a system and method are provided for reducing the time it takes to restore a failed network appliance and improving 5 service level measures.

Another advantage of the present invention is that a system and method are provided for reducing organization losses from network downtime. Being able to immediately power-cycle a failed server and thus return the server to 10 operation can directly reduce the downtime loss to the organization.

These and many other objects and advantages of the present invention will no doubt become obvious to those of ordinary skill in the art after having read the following 15 detailed description of the preferred embodiments which are illustrated in the various drawing figures.

IN THE DRAWINGS

FIG. 1 is a block diagram of a simple network management protocol (SNMP) network embodiment of the present invention;

FIG. 2 is a flowchart of a method of appliance power switch status detection, according to the present invention; 25

FIG. 3 is a schematic of a representative intelligent power module such as are included in the network of FIG. 1;

FIG. 4 is a schematic diagram of the load sensor included in the intelligent power module of FIG. 3; and

FIG. 5 is a schematic diagram of the power-on sensor 30 included in the intelligent power module of FIG. 3.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 illustrates a simple network management protocol (SNMP) network embodiment of the present invention, referred to herein by the general reference numeral 10. The SNMP network 10 includes a host 12 with a TCP/IP connection 14 to a plurality of point-of-presence (POP) nodes represented by a pair of network equipment racks 16 and 18. SNMP network management is provided by a SNMP manager 20 in communication with a respective pair of SNMP agents 22 and 24 at the remote nodes. The SNMP manager 20 may comprise a commercial product such as IBM NET-VIEW/6000, HP OPENVIEW, POLYCENTER, SunNet MANAGER, Cabletron SPECTRUM, etc.

An uninterruptable power supply (UPS) **26** provides operating power to a TCP/IP-addressable enterprise power manager **28**. It also powers a plurality of intelligent power 50 modules (IPM's) **30**, **32**, **34**, **36** that are able to switch the operating power on/off to a corresponding network appliances **38**, **40**, **42**, **44**.

An SNMP agent 46 is private to the power manager 28. It does not depend on the equipment rack 16 or any of its 55 network appliances 38, 40, 42, 44. The power manager 28 is connected to independently control each of the intelligent power modules 30, 32, 34, 36. Such control includes being able to sense the power-on and load status of each of the network appliances 38, 40, 42, 44 and to switch power on and off to each of the network appliances 38, 40, 42, 44. Such status is sensed and reported by an SNMP GET command 48 and the power switching is accomplished with an SNMP SET command 50 that issue from the host 12.

The power manager 28 and IPM's 30, 32, 34, 36, are also 65 able to generate an interrupt signal to each corresponding network appliances 38, 40, 42, 44. Although FIG. 1 shows

6

only the four network appliances 38, 40, 42, 44, typical installations will have so many that it is easy for the wiring of the power supply to get confused. In practice this has happened often enough that serious consequences have been paid when the network appliance that was supposed to be controlled by a particular IPM was not. Given the dependence that customers, users, and suppliers now place on the uninterrupted operation of their networks, accidental interruptions cannot be tolerated at all.

If the SNMP manager 20 intends, for example, to power cycle the third network appliance 42, an interrupt signal is sent to IPM 34 via SNMP agent 46. If IPM 34 really is supplying the power to network appliance 42, an interrupt signal will be processed and a message will be sent on the TCP/IP network 14. Such message will be received by the SNMP manager 20 that will unambiguously identify the third network appliance 42 as having been "tickled". If such message does not appear, or it appears and identifies a different network appliance, then the system administrator will be alerted to a probable wiring error.

Many commercial network devices provide a contact or logic-level input port that can be usurped for the "tickle" signal. Cisco Systems routers, for example, provide an input that can be supported in software to issue the necessary message and identifier to the system administrator. A device interrupt has been described here because it demands immediate system attention, but a polled input port could also be used

A network appliance 38, 40, 42, 44, that needs to have its power cycled on/off may need such action to clear a software lockup that has occurred. A power-on reset is needed to get the appliance to reboot. In such instances, a "tickle" signal from an IPM would be ignored because the recipient is essentially dead. Some systems may be temporarily awakened from their death sleep by a non-maskable interrupt and interrupt service routine. There may be enough resources to issue the message and identification that the system administrator needs to see. It will therefore be best for routine checks to be made before there is any trouble to register which IPM 30, 32, 34, 36, matches which network appliance 38, 40, 42, 44.

If the devices being supplied operating power by the IPM's 30, 32, 34, 36, are NT-servers, then an RS-232 serial interface is present that can be used for the "tickle" signal. In particular, the request-to-send (RTS) control line can be provided with a pulled-up dry-contact or open-collector from the IPM's 30, 32, 34, 36. A application program interface (API) is then added to the NT-server to issue the report message and identity when the RTS is toggled.

FIG. 2 shows a method of appliance power switch status detection, referred to herein by the general reference numeral 100. The method 100 comprises a step 102 applying a series of alternating current (AC) voltage pulses to an appliance with an on/off switch that are synchronized to a source of AC power. A step 104 senses the presence of any series of AC current pulses that result if the appliance switch is closed. A step 106 analyzes any AC current pulses detected in step 104 to determine if they resulted from the application of the AC voltage in step 102. A step 108 outputs an on/off status indication for the appliance switch. Method 100 does not result in the turning-on and the operation of the appliance during steps 102 or 104, and is therefore unobtrusive.

FIG. 3 illustrates an intelligent power module 200, similar to intelligent power modules 30, 32, 34, 36, which may be located external or internal to devices 38, 40, 42, 44, or internal or external to the UPS 26. The intelligent power

module 200 includes a power supply and clock generator 212, a load sensor 214, a power-on sensor 216, a solid-state relay 218 and a microprocessor 220. A serial input/output (I/O) connection 221 provides for communication with a controller, e.g., power manager 28.

A "tickle" relay 222 is controlled by the microprocessor 220 and can issue a dry-contact test signal. Such signal is intended to stimulate a message and identity report to a system administrator. Preferably, the operating power is controlled by an IPM and such test signal or "tickle" are 10 wired to the same network appliance.

An appliance, such as the network appliances 38, 40, 42, 44, has a power on/off switch 223 that may be internal or external to the appliance, and is represented in FIG. 3 by a network device load 224 connected to a network 225. The 15 switch 223 may also actually comprise both internal and external switches in series. The incoming alternating current (AC) line power is applied to the intelligent power module 200 at a hot (H) terminal 226, a neutral (N) terminal 227 and a ground (G) terminal 228. The appliance has its incoming 20 AC line power applied to a hot (H) terminal 230, a neutral (N) terminal 232 and a ground (G) terminal 234, which are respectively connected to a hot (H) terminal 236, a neutral (N) terminal 238 and a ground (G) terminal 240. A relay 242 allows automatic remote control by the microprocessor of 25 power to the appliance due to its position in the incoming AC line.

A network monitor 243 and a system administrator are able to receive message and identity reports issued by the network device load 224 in response to a "tickle" signal.

The load sensor 214 is such that if a current is flowing because switch 223 is closed, the microprocessor will receive a logic low status indication.

FIG. 4 represents an embodiment of the load sensor 214 included in FIG. 3. The load sensor 214 comprises a sense 35 resistor 244 connected to a voltage comparator 245. When the voltage dropped across the sense resistor 244 exceeds a reference voltage provided by a power supply 246, the output of the voltage comparator 245 goes high. A resistor 247 couples this to an opto-isolator 248 and produce a five 40 volt digital output (I_SENS) that indicates load/no-load to the microprocessor 220. A resistor 250 provides a pull-up to a current sense input to the microprocessor 220.

FIG. 5 represents an embodiment of the power-on sensor 216 included in FIG. 3. The power-on sensor 216 includes 45 an opto-isolator 252. The output of the opto-isolator 252 goes low when a sufficient voltage is dropped across a resistor 254. A five volt power supply connection and a pull-up 256 provide a five volt logic output (V_SENS) that indicates power/no-power to the microprocessor 220.

In operation, the device 200 senses if switch 223 is closed or open by converting AC current pulses from the power supply 212 that flow through the series circuit comprising the solid-state relay 218, the H-terminals 230 and 236, the switch 223, the network device load 224, the N-terminals 55 232 and 238, the load sensor 214, and return to the power supply 212. If the switch 223 is open, no such current can flow.

The power supply and clock generator 212 provides a five volt pulse clock (CLK) to the microprocessor 220 at each 60 zero-crossing of the incoming AC power line voltage across the H-terminal 226 and the N-terminal 227. A slightly delayed version of the clock is output by the microprocessor 220 to control the solid-state relay 218. A seventy volt AC output (70VAC) of the power supply and clock generator 65 212 provides a reduced voltage AC sine wave that is approximately seventy volts RMS. The solid-state relay 218

8

therefore gates through the seventy volt AC waveform twice each cycle such that alternating pulses of +70 volts and -70 volts are sent through switch 223 and load sensor 214. If a current flows because the switch 223 is closed, a characteristic pulse synchronized to the CLK signal will appear as an output from the opto-isolator 248. A resistor 250 provides a pull-up to a current sense input to the microprocessor 220. If the switch 223 is open, the characteristic pulses will not appear. An "on-sense" opto-isolator 252 provides isolation for a voltage sense input to the microprocessor 220.

The microprocessor 220 analyzes and stores its determination of whether the power is applied to the device 38–44 and whether the switch 223 is closed. Such data is thereafter useful to control the relay 242. The microprocessor 220 is programmed to control the relay 242 and to report the presence of current and voltage to the appliance through serial communication conducted over the serial I/O connection 221.

The power manager 28 is able to read from the intelligent power modules 30, 32, 34, 36, whether there is a proper operating voltage being supplied to the network appliances 38, 40, 42, 44, and whether such loads are turned on. The power manager 28 and its SNMP agent 46 are able to report such status in response to the GET command 48. The GET command modifies a MIB variable that is reported by the SNMP agent 46 to the SNMP manager 20.

The power manager 28 is able to require the intelligent power modules 30, 32, 34, 36, to turn the power being supplied to the network appliances 38, 40, 42, 44, on or off in response to the SET command 50. Such SET commands modify the MIB variable defined for power on/off, and allow independent power-cycling of each and any of the network appliances 38, 40, 42, 44. Such power cycling promotes a power-up reset of the appliance, e.g., when the SNMP agent 22 has reported a failure of the POP node 16 to the SNMP manager 20.

SNMP defines a client/server relationship. The client program, network manager 20, makes virtual connections to the server program, the SNMP agent 22 and 24 on a remote network device. The database controlled by the SNMP agent is the management information base (MIB). The MIB is a standard set of statistical and control values that provides information about the attributes of devices attached to the network. SNMP allows for the extension of these standard values with values that are specific to a particular SNMP agent through the use of private MIBs. The use of private MIB variables allows SNMP agents to be modified for a variety of devices, e.g., bridges, hubs, routers and CSU/ DSUs, etc. SNMP operates by exchanging network infor-50 mation through protocol data unit (PDU) messages. PDUs carry variables that have both titles and values. There are five types of PDUs that SNMP uses to monitor a network, two for reading terminal data, two for setting terminal data, and one, the trap, monitoring network events. Every SNMP message consists of a variable, and every variable consists of a variable title, the integer, string data type of the variable, whether the variable is read-only or read-write, and the value of the variable.

The SNMP manager 20 collects information via MIBs about routers, hubs, bridges, concentrators, servers, switches and other network appliances. When a problem at a remote node is detected, the corresponding SNMP agent issues an alarm that identifies the problem by type and node address. The SNMP manager typically sends a Telnet script to a TCP/IP-addressable enterprise power manager. The Telnet script instructs the enterprises power manager to cycle the power cycle, to recover an otherwise locked-up network

device. SNMP management is not required for the enterprise power manger and the associated intelligent power modules. The intelligent power modules include normally closed relays so power is always on except when the relay is deliberately opened to trigger a power on reset and reboot. 5 The network management application monitors the UPS and the network appliances.

The load sensor and power-on sensor can be combined such that a console operator can determine if electrical power is available to an equipment rack and to an individual 10 network appliance. A relay reset located between the power source and the client equipment node supports an SNMP-type SET command that can be defined to open and close a relay to power-cycle the network appliance. Such power-cycling can clear a lockup condition and allow the device to 15 return to normal operation via its own internal power-up reset mechanism

A console operator can be notified by conventional means that a router is failing. A determination then needs to be made that the electrical power is available to the equipment 20 rack and to an individual network appliance. The next action would be to try to power-cycle an individual network appliance to return it to operational status.

A power-on sensor 216, a load sensor 214 and a relay reset 218 can be combined in the electrical power supply connected to the equipment rack. Once a console operator has determined both that the router is failing and that the electrical power is available to the equipment rack and to the individual network appliance, the next logical step can be to power-cycle the individual network appliance, e.g., to return 30 it to operational status.

Where the in-place equipment that supplies electrical power for an equipment rack cannot be modified to incorporate the functions of an intelligent power module, the intelligent power module 200 can be connected in-line 35 between the electrical power source and the equipment power receptacle. The intelligent power module provides the necessary power-on sensor, load sensor, and relay reset circuit functions. The network management console operator can determine by conventional means that a device such 40 as a router is failing. With the present invention it can be further determined that electrical power is available to an equipment rack and to an individual network appliance, and even that the device's power switch is on. The present invention further permits an action to power-cycle the indi- 45 vidual network appliance, to return it to operational status by forcing a reboot.

A pass-through communication switch is preferably included with power manager 28 that is installed in the same equipment rack with other network appliances because 50 many network appliances have RS-232 network management system ports. Such management ports are intended to permit users to upload new software and to update and inspect configuration tables. A call-pass-through multi-port communications switch allows the initial communications 55 session with modem RS-232 or TCP/IP to be switched directly to a device's management port. For example, when a communications session is established to reboot a locked up router, after the router is back in operation, the same communications session can be transferred from the power 60 manager 28 to the router's management port. Preferably, such transfer of the particular communications session can be switched directly from a user interface screen in communication with the SNMP agent 46. The network operator can thereafter continue the repair operation by inspecting or 65 updating the router's configuration table, and to verify its operability.

10

User interfaces are preferably provided to be configured by a system administrator at the SNMP manager 20. A screen interface allows an operator to control individual intelligent power modules 30, 32, 34, 36, directly from an associated keyboard. A command interface preferably allows script files to be constructed and sent directly for execution. Response codes are returned after each command is executed. Group names are preferably supported which allows a single command to control multiple devices.

The power manager 28 preferably supports a variety of communication interfaces, such as, RS-232 and ETHER-NET. Out-of-band communications are connectable through an RS-232 interface using a DB9-type connector on a back panel. Such a port is used to establish communications sessions. An external dial-in-modem can also be used to establish communications. In-band communications are preferably provided with a LAN communications interface that supports ETHERNET connections, e.g., 10BaseT or 10Base2, with both IPX and TCP/IP protocols being supported.

A seven layer network communications model that is universally used to communicate between most types of computer networks is defined by the International Organization of Standards (ISO). Every layer relies on all its lower layers to complete its communication tasks. There are seven layers identified as the application, presentation, session, transport, network, data link, and physical layers. For example, e-mail is a task of the application layer. The application layer uses all of the layers below it to deliver particular e-mail messages to their destinations. The presentation layer formats the look of the e-mail, and the physical layer actually transports the binary data across the network. For more information, see, Naugle, Matthew G., Local Area Networking, (McGraw-Hill: New York), 1991.

The information that the SNMP manager 20 can gather from the SNMP agents 22 and 24 around a network is the definition of the MIB and it has a hierarchical tree structure. At the top of the tree is the general network information. Each branch of the tree gets more detailed about a specific network area. The leaves of the tree include the most detail. A device may be a parent in the tree, and its children can be discrete serial and parallel devices. Each node in the MIB tree can be represented by a variable. The top of a local area network MIB tree is usually referred to as "internet".

Managed objects are accessed via the MIB and are defined using a subset of ASN.1. Each object type is named by an object identifier, which is an administratively assigned name. The object type and an object instance uniquely identify a specific object. Descriptor text strings are used to refer to the object type.

Network information is exchanged with protocol data unit (PDU) messages, which are objects that contain variables and have both titles and values. SNMP uses five types of PDUs to monitor a network. Two deal with reading terminal data, two deal with setting terminal data, and one, the trap, is used for monitoring network events such as terminal start-ups or shut-downs. When a user wants to see if a terminal is attached to the network, for example, SNMP is used to send out a read PDU to that terminal. If the terminal is attached, the user receives back a PDU with a value "yes, the terminal is attached". If the terminal was shut off, the user would receive a packet informing them of the shutdown with a trap PDU.

In alternative embodiments of the present invention, it may be advantageous to include the power manager and intelligent power module functions internally as intrinsic components of an uninterruptable power supply (UPS). In

applications where it is too late to incorporate such functionally, external plug-in assemblies are preferred such that off-the-shelf UPS systems can be used.

Although the present invention has been described in terms of the present embodiment, it is to be understood that 5 the disclosure is not to be interpreted as limiting. Various alterations and modifications will no doubt become apparent to those skilled in the art after having read the above disclosure. Accordingly, it is intended that the appended claims be interpreted as covering all alterations and modifications as fall within the true spirit and scope of the invention.

What is claimed is:

- 1. A network power manager apparatus of the type useable in a computer network having a host system with a network 15 power manager application adapted to issue network commands and communicate network commands over a network communications connect supporting IP communications, the network power manager apparatus comprising in combination:
 - a power supply housing;
 - a power manager agent application mounted in the power supply housing and being connectable to the network communications connection;
 - a plurality of power outlets mounted in the power supply 25 housing; and
 - a plurality of intelligent power modules (IPMs) mounted in the power supply housing and connectable to said network communications connection and thereby being in IP communication with said network power manager 30 application through said power manager agent application, each said intelligent power module being adapted to provide power from a power source to a corresponding power outlet among the plurality of power outlets and being in communication with said 35 power manager agent application to provide power cycling on-off of said corresponding power outlet and at least one of power state sensing and load-sensing with respect to said corresponding power outlet in response to one or more commands, wherein each 40 intelligent power module comprises a microprocessor connected by a power on/off device to independently control the power applied to said corresponding power outlet, and wherein said microprocessor is also connected by at least one among a voltage sensing device 45 to independently sense the power state of said corresponding power outlet and a load sensing device to independently sense the load status of said corresponding power outlet.
- 2. The network power manager apparatus of claim 1 50 further comprising a serial communications connection supported by a microprocessor, said serial communications connection connecting each of the intelligent power modules to the power manager agent application.
- 3. The network power manager apparatus of claim 1 55 wherein said voltage sensing device comprises an opto-isolator
- 4. The network power manager apparatus of claim 3 wherein said microprocessor communicates the power-on status of the IPM-corresponding power outlet to the network 60 power manager application through said power manager agent application as a variable in a managed information base data construct communicated over the network communications connection in accordance with a predefined simple network management protocol.
- 5. The network power manager apparatus of claim 1 wherein said load sensing device comprises a load sensor.

12

- 6. The network power manager apparatus of claim 5 wherein said microprocessor is adapted to communicate the load status to the network power manager application through the power manager agent application as a variable in a managed information base (MIB) data construct communicated over the network communications connection in accordance with a predefined simple network management protocol (SNMP).
- 7. The network power manager apparatus of claim 1 wherein said power on/off device comprises a relay.
- 8. The network power manager apparatus of claim 7 wherein said microprocessor controls the power applied to the corresponding power outlet in response to a variable in a managed information base (MIB) data construct communicated from the network power manager application to the power manager agent application over the network communications connection in accordance with a predefined simple network management protocol (SNMP).
- 9. The network power manager apparatus of claim $\mathbf{1}^{20}$ wherein each intelligent power module further comprises:
 - a microprocessor in communication with:
 - a power state sensor that independently senses the power-on status of the corresponding power outlet;
 - a load sensor that independently senses the load status of the corresponding power outlet; and
 - a relay that independently controls the power applied to the corresponding power outlet.
 - 10. The network power manager apparatus of claim 1 wherein each intelligent power module further comprises:
 - a power supply and clock generator, connected to a load-sensor, a power state sensor, and a relay and that applies a series of alternating current (AC) voltage pulses synchronized to a source of AC power to the corresponding power outlet with an on/off switch, said load sensor being adapted to sense the presence of a series of AC current pulses that result if said on/off switch is closed;
 - a microprocessor that analyzes any AC current pulses detected by said load sensor to determine if they resulted from application of the AC voltage pulses; and an input/output connection connected to said microprocessor that outputs an on/off status indication for said switch.
 - 11. The network power manager apparatus of claim 1 wherein each intelligent power module further comprises: power output terminals with a power switch;
 - a synchronized pulse generator connected to said terminals that applies an alternating pulsed voltage synchronized to an incoming alternating current power source to the corresponding power outlet;
 - a load sensor connected in series with said terminals and said power supply/clock generator; and
 - a microprocessor connected to both said synchronized pulse generator and the load sensor, said microprocessor being adapted to determine if a current sensed by said load sensor resulted from both said switch being closed and application of the alternating pulsed voltage from said synchronized pulse generator.
 - 12. The network power manager apparatus of claim 11 wherein said power state sensor comprises a voltage state determination processor in voltage determination communication with a power relay in power controlling communication with said corresponding power outlet.
 - 13. The network power manager apparatus of claim 11 wherein said synchronized pulse generator further com-

prises a clock generator with an output that coincides with each zero-crossing of the incoming alternating current

- 14. The network power manager apparatus of claim 11 wherein said load sensor further comprises an opto-isolator 5 and a sense resistor.
- 15. The network power manager apparatus of claim 14 wherein said microprocessor further comprises a data input connected to said opto-isolator and a data output connected to control the synchronized pulse generator.
- 16. A network power manger apparatus of the type useable in a computer network having a host system with a network power manager application adapted to issue network commands and communicate network commands over a network communications connection, the network power 15 wherein each intelligent power module further comprises: a manger apparatus comprising in combination:
 - a power manager agent application connectable to the network communications connection;
 - a plurality of power outlets; and
 - a plurality of intelligent power modules (IPMs) connect- 20 able in communication with said network power manager application, each said intelligent power module comprising a microprocessor, and each said intelligent power module being adapted to provide power from a power source to a corresponding power outlet among 25 the plurality of power outlets and being in communication with said power manager agent application to provide power cycling on-off of said corresponding power outlet and at least one of power-on sensing and load-sensing with respect to said corresponding power 30 outlet in response to one or more commands.
- 17. The network power manager apparatus of claim 16 further comprising a serial communications connection supported by said microprocessor, said serial communications connection being adapted to connect each of the intelligent 35 power modules to the network power manager application.
- 18. The network power manager apparatus of claim 16 wherein said microprocessor is connected by an opto-isolator whereby the intelligent power module may independently sense the power-on status of said corresponding 40 power outlet.
- 19. The network power manager apparatus of claim 18 wherein said microprocessor communicates the power-on status of the IPM-corresponding power outlet to the network power manager application through said power manager 45 wherein said power state sensor comprises a voltage state agent application as a variable in a managed information base data construct communicated over the network communications connection in accordance with a predefined simple network management protocol.
- 20. The network power manager apparatus of claim 16 50 wherein said microprocessor is connected by a load sensor that independently senses the load status of the corresponding power outlet.
- 21. The network power manager apparatus of claim 20 wherein: said microprocessor communicates the load status 55 to the network power manager application through the power manager agent application as a variable in a managed information base (MIB) data construct communicated over the network communications connection in accordance with a predefined simple network management protocol (SNMP).
- 22. The network power manager apparatus of claim 16 wherein said microprocessor is in communication with a relay that independently controls the power applied to the corresponding power outlet.
- 23. The network power manager apparatus of claim 22 65 wherein said microprocessor controls the power applied to the corresponding power outlet in response to a variable in

14

a managed information base (MIB) data construct communicated from the network power manager application to the power manager agent application over the network communications connection in accordance with a predefined simple network management protocol (SNMP).

- 24. The network power manager apparatus of claim 16 wherein said microprocessor is in communication with:
 - a power on sensor that independently senses the power-on status of the corresponding power outlet;
 - a load sensor that independently senses the load status of the corresponding power outlet; and
 - a relay that independently controls the power applied to the corresponding power outlet.
- 25. The network power manager apparatus of claim 16 power supply and clock generator connected to a loadsensor, a power on sensor, and a relay, said power supply and clock generator applying a series of alternating current (AC) voltage pulses synchronized to a source of AC power to the corresponding power outlet with an on/off switch, said load sensor being adapted to sense the presence of a series of AC current pulses that result if said on/off switch is closed; said microprocessor analyzes any AC current pulses detected by said load sensor to determine if they resulted from application of the AC voltage pulses; and each intelligent power module further comprises an input/output connection connected to said microprocessor that outputs an on/off status indication for said switch.
- 26. The network power manager apparatus of claim 16 wherein each intelligent power module further comprises: power output terminals with a power switch;
 - a synchronized pulse generator connected to said terminals that applies an alternating pulsed voltage synchronized to an incoming alternating current power source to the corresponding power outlet; and
 - a load sensor connected in series with said terminals and said power supply/clock generator; and
 - wherein said microprocessor is connected to both said synchronized pulse generator and the load sensor, said microprocessor being adapted to determine if a current sensed by said load sensor resulted form both said switch being closed and application of the alternating pulsed voltage from said synchronized pulse generator.
- 27. The network power manager apparatus of claim 26 determination processor in voltage determination communication with a power relay in power controlling communication with said corresponding power power outlet.
- 28. The network power manager apparatus of claim 26 wherein said synchronized pulse generator further comprises a clock generator with an output that coincides with each zero-crossing of the incoming alternating current
- 29. The network power manager apparatus of claim 26 wherein said load sensor further comprises an opto-isolator and a sense resistor.
- 30. The network power manager apparatus of claim 29 wherein said microprocessor further comprises a data input connected to said opto-isolator and a data output connected to control the synchronized pulse generator.
- 31. A network power manager apparatus of the type useable in a computer network having a host system with a network power manager application adapted to issue network commands and communicate network commands over a network communications connection supporting IP communications, the network power manager apparatus comprising in combination:

- a power supply housing;
- a power manager agent application mounted in the housing and being connectable to the network communications connection;
- a plurality of power outlets mounted in the power supply 5 housing; and
- a plurality of intelligent power modules mounted in the power supply housing and connectable to said network communications connection and thereby being in IP communication with said network power manager 10 application through said power manager agent application, each intelligent power module comprising a microprocessor, and each said intelligent power module being adapted to provide power from a power source to a corresponding power outlet among the 15 plurality of power outlets and being in communication with said power manager agent application to provide power cycling on-off of said corresponding power outlet and at least one of power state sensing and load-sensing with respect to said corresponding power 20 agent application. outlet in response to one or more commands, said power state sensor having a voltage state determination

16

processor in voltage determination communication with a power relay in power controlling communication with said corresponding power outlet, said intelligent power module being in power state reporting communication with the network power manager application through said power manager agent application through one or more variables in a managed information base data construct communicated over the network communications connection in accordance with a predefined simple network management protocol.

- **32**. The network power manager apparatus of claim **31** in which the voltage state determination processor comprises a microprocessor portion controllably communicating with said power relay.
- 33. The network power manager apparatus of claim 32 in which the network communications connection is a serial connection providing serial communication between the network power manager application and the power manager agent application.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,162,521 B2 Page 1 of 1

APPLICATION NO.: 10/806130
DATED: January 9, 2007
INVENTOR(S): Ewing et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1, lines 10-12, "now U.S. Patent No. 5,949,974 the contents of which are

hereby incorporated by reference in its entirety" should be replaced by --now U.S.

Patent No. 5,949,974. The contents of U.S. Patent No. 7,010,589 and U.S. Patent No.

5,949,974 are hereby incorporated by reference--.

Column 1, line 50, "browser win dow" should be --browser window--.

Column 3, line 33, "specific to device lasses" should be --specific to device classes--.

Column 7, line 40, "and produce" should be -- and produces--.

Column 14, line 41, "resulted form both" should be --resulted from both--.

Column 14, line 48, "corresponding power power outlet" should be --corresponding power outlet--.

Signed and Sealed this

Thirty-first Day of July, 2007

JON W. DUDAS

Director of the United States Patent and Trademark Office